



CASE N-32663A/USN

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

EL 987586878 US
Express Mail Label Number

OCTOBER 15, 2003
Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

TROUP ET AL.

APPLICATION NO: 10/662,678

FILED: SEPTEMBER 15, 2003

FOR: NUTRITIONAL COMPOSITIONS

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is being filed within three months of the filing date of the application. Therefore, no fees are required. If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

Copies of these references are enclosed herewith.



01-13-04

CASE N-32663A/USN

FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

EV 336721087 US

Express Mail Label Number

January 12, 2004

Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Art Unit: 1701 1654

TROUP ET AL.

APPLICATION NO: 10/662,678

FILED: SEPTEMBER 15, 2003

FOR: NUTRITIONAL COMPOSITIONS

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is supplemental to the Information Disclosure Statement filed October 15, 2003. Since Applicants believe this paper is being filed before the mailing date of a first Office Action on the merits, no fees are believed to be required under 37 C.F.R. §1.97(b)(3). If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

Copies of these references are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,


John W. Kung
Attorney for Applicants
Reg. No. 44,199

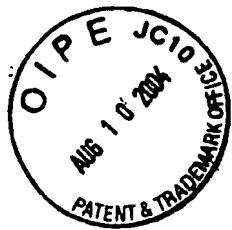
Novartis
Corporate Intellectual Property
One Health Plaza, Building 430
East Hanover, NJ 07936-1080
(862) 778-7877

Date: 1/12/2009

08-11-04

1/26

CASE N-32663A



FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10	
EV 540156906 US Express Mail Label Number	August 10, 2004 Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Art Unit: 1761- 1654

TROUP ET AL.

APPLICATION NO: 10/662,678

FILED: SEPTEMBER 15, 2003

FOR: NUTRITIONAL COMPOSITIONS

MS: Amendment

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

This paper is supplemental to the Information Disclosure Statement filed

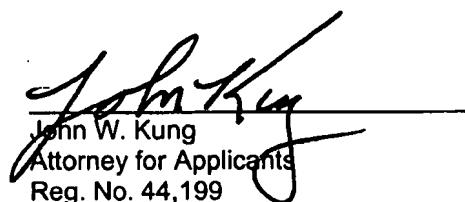
Since Applicants believe this paper is being filed before the mailing date of a first Office Action on the merits, no fees are believed to be required under 37 C.F.R. §1.97(b)(3). If a fee is deemed to be required, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 19-0134.

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

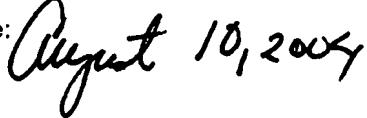
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Respectfully submitted,


John W. Kung
Attorney for Applicants
Reg. No. 44,199

Novartis
Corporate Intellectual Property
One Health Plaza, Building 430
East Hanover, NJ 07936-1080
(862) 778-7877

Date: 

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,



John W. Kung
Attorney for Applicants
Reg. No. 44,199

Novartis
Corporate Intellectual Property
One Health Plaza, Building 430
East Hanover, NJ 07936-1080
(862) 778-7877

Date: *October 15, 2003*

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Sheet 1 of 3

ATTY. DOCKET NO.
N-32663A
APPLICATION NO.
Not Yet Assigned
APPLICANT
TROUP ET AL.
FILING DATE
September 15, 2003

Group

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
/J.H./	AA	4,780,475	10/25/88	Cerra et al.			
	AB	4,906,664	3/6/90	Bistrian et al.			
	AC	5,514,656	5/7/96	Cope et al.			
	AD	5,547,927	8/20/03	Cope et al.			
	AE	5,700,782	12/23/97	Cope et al.			
	AF	5,827,896	10/27/98	Ostlund et al.			
	AG	5,985,339	11/16/99	Kamarei			
	AH	6,077,828	6/20/00	Abbruzzese et al.			
	AI	6,232,346	5/15/01	Sole et al.			
↓	AJ	6,326,355	12/4/03	Abbruzzese et al.			
↓	AK	6,387,883	5/14/02	Abbruzzese et al.			
	AL						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION YES	NO
/J.H./	AM	JP 11246435	9/14/99	Japan (Derwent Abstract 1999-566489/78)			<input type="checkbox"/>	<input type="checkbox"/>
	AN	WO00/21554	4/20/00	PCT			<input type="checkbox"/>	<input type="checkbox"/>
	AO	WO89/08709	9/21/89	PCT			<input type="checkbox"/>	<input type="checkbox"/>
↓	AP	WO97/13415	4/17/97	PCT			<input type="checkbox"/>	<input type="checkbox"/>
↓	AQ	WO98/18751	5/7/98	PCT			<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

/J.H./	AR	"Omega-3-fatty acids used as an anti-cachexia agents - esp. in patients with tumors or AIDS, esp. used as fish oils added to low carbohydrate diet", (Derwent Abstract 90-225190/30).
/J.H./	AS	"Pharmaceutical compsns. contg. elcoos:penta:anoic acid or salt - for treating cancerous tumors, cachexia and to inhibit the llyolytic factor produced by tumors", (Derwent Abstract 90-292602/39).
/J.H./	AT	Beck et al., "Anticachectic and Antitumor Effect of Eicosapentaenoic Acid and Its Effect on Protein Turnover", Cancer Research, Vol. 51, pp. 6089-6093, (November 15, 1991)

EXAMINER

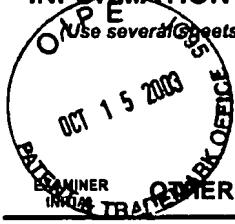
/Julie Ha/

DATE CONSIDERED

Oct 15, 2003

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 608: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

INFORMATION DISCLOSURE CITATION

MPEP
(Use several sheets if necessary)

ATTY. DOCKET NO.
N-32663A
APPLICATION NO.
Not Yet Assigned
APPLICANT
TROUP ET AL.
FILING DATE
September 15, 2003

Group

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

/J.H./	DA	Biolo et al., "A New Model to Determine in Vivo the relationship Between Amino Acid Transmembrane Transport and Protein Kinetics in Muscle", Parenteral and Enteral Nutrition, Vol. 16, No. 4, pp. 305-315, (1992).
	DB	Biolo et al., "Protein synthesis and breakdown in skin and muscle: a leg model of amino acid kinetics", Am J Physiol., Vol. 26, No. 39, pp. E467-474, (1994).
	DC	Kemen et al., "Early postoperative enteral nutrition with arginine- ω -3 fatty acids and ribonucleic acid-supplemented diet versus placebo in cancer patients: An immunologic evaluation of Impact", Critical Care Medicine, Vol. 23, No. 4, (1995).
	DD	Senkal et al., "Modulation of Postoperative Immune Response by Enteral Nutrition with a Diet Enriched with Arginine, RNA, and Omega-3 Fatty Acids in Patients with Upper Gastrointestinal Cancer", Eur. J. Surg. Vol. 161, pp. 115-122, (1995).
	DE	Tera et al., "Compsn. for treating cachexia - contg. quinone or hydroquinine derivs. (Eng.), (Derwent Abstract 96-139442/14).
	DF	Tessitore et al., "Cancer Cachexia, Malnutrition, and Tissue Protein Turnover in Experimental Animals", Archives of Biochemistry and Biophysics, Vol. 306, No. 1, pp. 52-58, (October 1993).
	DG	Tisdale et al., "Inhibition of Weight Loss by ω -3 Fatty Acids in an Experimental Cachexia Model", Cancer Research, Vol. 50, pp. 5022-5026, (August 15, 1990).
	DH	Tisdale et al., Inhibition of Tumour-Induced Lipolysis In Vitro And Cachexia And Tumour Growth In Vivo By Eicosapentaenoic Acid", Biochemical pharmacology, Vol.41, No. 1, pp. 103-107, (1991).
	DI	Tisdale M.J., "New lipid mobilizing agent used for treating obesity, and as diagnostic marker for cancer", (Derwent Abstract 2000-072831/06).
	DJ	Tisdale, M J, "Low mol. wt. lipolytic factor - obtd. from cachexia-inducing tumours or cultures, used as therapeutic agents for diagnosis or producing antibodies", (Derwent Abstract 89-292524/40).
	DK	Tisdale, M.J., Mechanism of Lipid Mobilization Associated with Cancer Cachexia: Interaction Between the Polyunsaturated Fatty Acid, Eicosapentaenoic Acid, and Inhibitory Guanine Nucleotide-Regulatory Protein", Prostaglandins L:uekotrienes and Essential Fa
▼	DL	Wachtler et al., Influence of a pre-operative enteral supplement on functional activities of peripheral leukocytes from patients with major surgery", Clinical Nutrition, Vol. 14, pp. 275-282, (1995).
	DM	
	DN	

EXAMINER

/Julie Ha/

DATE CONSIDERED

Oct. 15, 2003

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY. DOCKET NO.

N-32663A

APPLICATION NO.

Not Yet Assigned

APPLICANT

TROUP ET AL.

FILING DATE

September 15, 2003

Group

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO
/J.H./	CA WO98/43617	10/8/98	PCT			<input type="checkbox"/>	<input type="checkbox"/>
/J.H./	CB WO99/40183	8/12/99	PCT			<input type="checkbox"/>	<input type="checkbox"/>
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EXAMINER

/Julie Ha/

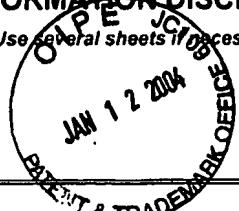
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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Sheet 1 of 1

ATTY. DOCKET NO.
N-32663A/USN
APPLICATION NO.
10/662,678
APPLICANT
TROUP ET AL.
FILING DATE
SEPTEMBER 15, 2003Group
4764

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION YES	NO
/J.H./	AM	WO 02/087562	11/7/02	PCT			<input type="checkbox"/>	<input type="checkbox"/>
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	AO						<input type="checkbox"/>	<input type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>
	AQ						<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

AR	
AS	
AT	

EXAMINER /Julie Ha/ DATE CONSIDERED Jan 12, 2004

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

(Use several sheets if necessary)

ATTY. DOCKET NO.
N-32663A
APPLICATION NO.
10/662,678
APPLICANT
TROUP ET AL.
FILING DATE
SEPTEMBER 15, 2003Group
1101

1654

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
/J.H./	AA	5,576,351	11/19/96	Yoshimura et al.			
/J.H./	AB	5,817,695	10/6/98	Pellico			
/J.H./	AC	6,245,379	6/12/01	Lepine			
/J.H./	AD	6,420,342	7/16/02	Hageman et al.			
	AE						
	AF						
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	AH						
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	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS

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	AM						<input type="checkbox"/>	<input type="checkbox"/>
	AN						<input type="checkbox"/>	<input type="checkbox"/>
	AO						<input type="checkbox"/>	<input type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>
	AQ						<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

/J.H./	AR	Derwent Abstracts - 90/020255, "Anti-cancer pharmaceutical compsns. - contain L-leucine, L-isoleucine, L-valine, L-lysine, L-threonine, L-tryptophan, L-methionine, L-cysteine", 12/05/89 (1989). [XP-002099910]
/J.H./	AS	Ventrucci et al., "Effect of a leucine-supplemented diet on body composition changes in pregnant rats bearing Walker 256 tumor", Braz. J. Med. Biol. Res., Vol. 34, No. 3, pp. 333-338, (2001).
/J.H./	AT	International Search Report

EXAMINER

/Julie Ha/

DATE CONSIDERED

Aug 10, 2004

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.